WWG Investment Policy and Risk Matrix

Name(s) / Trust Name / Organization: ____

Account Type: ___________________________ **Tax Considerations:** Qualified_____ Non-qualified_____

Starting Balance: $_________________________ **Time Horizon:** 0-5 years 6-15 years 16+ years

Annual Income:	**Net Worth** (excluding primary residence):
$0 - $50,000	$0-$100,000
$51,000 - $100,000	$101,000 - $500,000
$101,000 - $250,000	$501,000 - $1,000,000
$251,000 - $500,000	$1,000,001 - $2,500,000
$501,000 - $1,000,000	$2,500,001 - $5,000,000
$1,000,000 +	$5,000,000 +

Fed Tax Bracket: 0% 10% 12% 20% 22% 24% 32% 35% 37%

State: ______ **Tax Bracket:** 0% 4.25% _____%

Investment Experience: None_____ Limited_____ Moderate_____ Extensive_____

Investment Profile: Aggressive_____ Moderate_____ Conservative_____

Investment Objective:	**Target Allocation Range(s):**		
Capital Preservation_____	Cash: 0-100%	Bonds: 0-100%	Stocks: 0-25%
Income with Growth_____	Cash: 0-25%	Bonds: 0-50%	Stocks: 0-75%
Growth with income_____	Cash: 0-10%	Bonds: 0-50%	Stocks: 0 - 90%
Growth_____	Cash: 0-5%	Bonds: 0-15%	Stocks: 0 – 100%

Do you have any liquidity needs from this account in the next 0-3 years? Yes_____ No_____

Dividends: Cash Re-invest

Special Considerations or Restrictions for specific companies or sectors:

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_______________________________________ _______________
Client Signature Date

_______________________________________ _______________
Client Signature Date